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5 IN THE SUPERIOR COURT OF THE STATE OF ARIZONA
IN AND FOR MARICOPA COUNTY

6 In The Matter of:
7 Proceeds from Bank of America Account
#4672000476 in the name of Unite 1
8 Investment Assistance, et al., as more
particularly described in the Attached
9 Appendix One

Cause No. CV 2001-011252

ORDER RE: PETITION NO. 2
ORDER GOVERNING THE
ADMINISTRATION OF THE
RECEIVERSHIP

(Assigned to Judge Peter C. Reinstein)

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13 The Receiver having filed Petition No. 2 setting forth the Receiver's recommendations
14 regarding the procedures for administering the receivership established under this Court's
15 *Order Appointing Receiver* entered in this matter on September 4, 2003, and the Court having
16 considered same, and it appearing that those recommendations are reasonable, just, and
17 calculated to afford the fair and equitable treatment of all persons interested in these
18 proceedings and it appearing to the Court that the matters being requested by Petition No. 2
19 are reasonable, just and appropriate:
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1 NOW, THEREFORE, IT IS ORDERED:

2 1. Further Proceedings in this Receivership. The Receiver or any person seeking
3 to obtain relief from this Court in connection with this receivership, shall file with the court a
4 pleading entitled "Petition". All petitions filed in this Receivership shall be accompanied by
5 a proposed form of order. Each petition shall be consecutively numbered and the petition and
6 all subsequent pleadings filed and orders entered in connection with that petition shall contain
7 a reference to the petition number in the title of the pleading or order. If the party seeks relief
8 in its petition against any person other than one of the defendants, the caption shall include
9 the identity of the petitioner and the party against whom the relief is sought, who shall be
10 denominated as "Respondent," in a form similar to that used in asserting a third party claim.
11 Any person intending to file such a petition shall obtain a number for the petition from the
12 counsel for the Receiver, Guttilla & Murphy.

13 2. Active Calendar. The clerk of the court is directed to retain this matter on the
14 court's active calendar until such time as this receivership has been terminated by order of the
15 court.

16 3. Service List and Proof of Service. Service of all petitions and other papers filed
17 in connection with this receivership shall be governed by the Arizona Rules of Civil
18 Procedure and this paragraph:

19 a. Counsel for the Receiver shall maintain a Master Service List containing
20 the name and mailing address of each person, or the person's legal counsel, who has
21 made an appearance in this matter, or has requested to be included on the Master

1 Service List, or has been placed there by order of the Court. All pleadings and other
2 papers filed in this matter shall be served as provided in this order on each person on
3 the Master Service List, except as provided in this order or by other order of this
4 Court.

5 b. Where the Court has provided for a separate mailing list for a matter
6 raised by petition, all pleadings and other papers filed in connection with that petition
7 shall be served on all persons on the special service list for that petition.

8 c. The person filing a petition shall serve on all persons on the applicable
9 service list, a copy of the petition and proposed form of order. If the petition is filed
10 by the Receiver, the Receiver shall also serve a notice of hearing.

11 d. Within five days (5) after the entry of an order granting or denying relief
12 under a petition, the person filing the petition shall serve a conformed copy of the
13 order entered by the Court on all persons on the applicable service list.

14 e. All *ex-parte* orders entered by the Court in accordance with this Order
15 shall be served by the Receiver on all persons on the Master Service List within five
16 (5) days after entry of the *Ex-Parte* Order.

17 f. Service under this paragraph shall be made by mailing by first class mail,
18 postage prepaid, a copy of the pleading or other paper to be served on each person on
19 the applicable service list.

20 g. The party making service shall, within five (5) days after making service,
21 file and serve a proof of mailing, stating that a copy of the petition or other paper

1 served, was mailed to the persons set forth on the applicable service list, which service
2 list shall be attached to the proof of mailing.

3 h. The procedures set forth in this paragraph shall also be followed for all
4 motions and stipulations filed in the above matter and these procedures are intended to
5 replace and supersede the procedures set forth in Rule 3.2(i), Maricopa County Local
6 Rules of Practice.

7 i. Counsel for the Receiver shall provide a copy of the Master Service List
8 and any special service list to any person requesting same.

9 j. Counsel for the Receiver shall remove the name of a person from a
10 service list upon receipt of a written request to do so from the person or his counsel or
11 when so directed by the court.

12 4. Ex Parte Petitions. Counsel for the Receiver may file an *ex parte* petition
13 without providing notice or service as provided in this order or by the Rules of Civil
14 Procedure, where the Court expressly so authorizes such a filing, or where:

15 a. The petition seeks authority to engage outside professionals to perform
16 services for the Receiver, including outside counsel to represent the Receiver in
17 pending or contemplated litigation.

18 b. The petition seeks authority to institute or defend litigation, file an
19 appeal or assert or otherwise perfect a claim or defense of the Receiver.

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1 5. Notice Procedures. Notice of all proceedings in this receivership shall be
2 governed by the following provisions unless otherwise ordered by the Court:

3 a. Notices of hearings regarding petitions for authority to compensate the
4 Receiver, attorneys and others, shall be given by mailing notice and a copy of the
5 petition to each person on the Master Service List not later than seven (7) days before
6 the date set for hearing.

7 b. Unless otherwise ordered by the Court, notices of hearing regarding
8 petitions for confirmation of the sale of any asset by the Receiver shall be given by
9 mailing notice and a copy of the petition to each person on the Master Service List not
10 later than ten (10) days before the date set for hearing, and notice shall be published
11 once in the Arizona Business Gazette, or other newspaper of general circulation, not
12 later than seven (7) days before the date set for hearing.

13 c. Notice for hearing the final account and report of Receiver shall be given
14 by following the notice procedure outlined in subparagraph (b) above.

15 d. Unless otherwise ordered by the Court, all other matters requiring the
16 giving of public notice shall follow the procedure outlined in subparagraph (a) above.

17 6. Identification of Assets. In complying with this Court's order to identify claims
18 and receivership records the Receiver may, without further order of the Court, obtain from the
19 Clerk of this Court subpoenas, or obtain commissions or letters rogatory directing another
20 Court to issue subpoenas, compelling any person who the Receiver reasonably believes
21 possesses information or documents that may assist the Receiver in identifying such claims

1 and records, to produce such information or documents to the Receiver. The Receiver shall
2 be required to give notice of depositions or commissions or letters rogatory under this
3 paragraph, only to persons who have made a written request to the Receiver for such notice.

4 7. Petitions for Payment of Fees. The Receiver shall seek authorization to
5 compensate from receivership funds the Receiver, and attorneys and accountants for the
6 Receiver, by filing a pay petition, which petition shall not be required to include as exhibits
7 the itemized statements of services rendered to, and costs incurred or expended on behalf of,
8 the Receivership, provided that the pay petition includes a statement that anyone desiring
9 additional information concerning the services and costs to be paid under the pay petition
10 may obtain redacted information from the Receiver by delivering to the Receiver and the
11 Receiver's counsel, Guttilla & Murphy, a written request specifying the additional
12 information requested at least three days prior to the date set for hearing on the pay petition.
13 Upon request of the Court, the Receiver shall make available for in camera review by the
14 Court, the itemized statements and supporting documentation for the services and costs to be
15 paid under the pay petition.

16 8. Legal Counsel for Out-Of-State Litigation. The Receiver may employ, without
17 further order of the Court, such legal counsel as are necessary to represent the Receiver or
18 any of the persons in receivership in all litigation before any court or tribunal other than the
19 courts of this state. The Receiver may agree to pay such legal counsel from the assets of the
20 receivership estate at a rate determined in the sole discretion of the Receiver to be
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1 appropriate, provided that the Receiver shall obtain approval of the Court as provided in this
2 order prior to paying such legal counsel.

3 Dated this 20 day of Jan, 2004.

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5 0813-001(18378)

6 PETER C. REINSTEIN
7 Judge of the Superior Court

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